

Handwritten signature/initials

10,040,352

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:

**ATTN: Certificate of Corrections Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

on March 25, 2005.

H. Chin Barnhill
Hui Chin Barnhill

In re Patent of: **Yang et al.**

U.S. Patent No: 6,852,255 B2

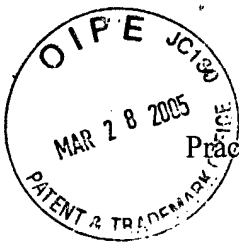
Issue Date: February 8, 2005

Title: Method for Producing Water-Insoluble Polysaccharides

Our Reference Number: 250913-1350

The following is a list of documents enclosed:

Return Postcard
Request for Certificate of Correction
Certificate of Correction (& Original Duplicate)
Copy of Documents filed regarding adding inventors



PATENT

Practitioner's Docket No. **250913-1350**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Number: **6,852,255 B2**

Issued: **February 8, 2005**

Name of Patentee: **Yang et al.**

Title of Invention: **Method for Producing Water-Insoluble Polysaccharides**

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

**Attention: Decision and Certificate of Correction
Branch of the Patent Issue Division**

**REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT
FOR PTO MISTAKE (37 C.F.R. § 1.322(a))**

1. Attached, in duplicate, is PTO/SB/44 (also Form PTO-1050), with at least one copy being suitable for printing.

NOTE: Form PTO-1050 (or PTO/SB/44), using the column and line number in the printed patent, should be used exclusively regardless of the length or complexity of the subject matter. M.P.E.P. § 1485, 7th ed.

NOTE: The patent grant should be retained by the patentee. The PTO does not attach the certificate of correction to the patentee's copy of the patent. The patent grant will be returned to the patentee if submitted. M.P.E.P. § 1485.

2. The exact page and line number where the errors are shown correctly in the application file are:

NOTE: This information should be identified in this request; however, on Form PTO-1050, only the column and line number in the printed patent should be used. M.P.E.P. § 1485, 7th ed.

3. Please send the Certificate to:

Name: **Daniel R. McClure**

Address: Thomas, Kayden,
Horstemeyer, & Risley, L.L.P.
100 Galleria Parkway, Suite 1750
Atlanta, Georgia 30339



SIGNATURE OF PRACTITIONER

Daniel R. McClure, Reg. No. 38,962

(type or print name of practitioner)

Tel. No.: **(770) 933-9500**

Thomas, Kayden,
Horstemeyer, & Risley, L.L.P.
100 Galleria Parkway, Suite 1750
Atlanta, Georgia 30339

Customer No.: 24504

NOTE: The certificate of correction, under 35 U.S.C. 254, may be issued at the request of the patentee or [the patentee's] assignee." 37 C.F.R. §1.322(a). The certificate of correction can be signed by the attorney of record who acts on behalf of the inventor(s) or assignee(s).

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**

Patent No.: 6,852,255 B2
Dated: February 8, 2005
Inventor(s): Yang et al.

It is certified that error appears in the above-identified patent and that said
Letters Patent is hereby corrected as shown below

Page 1, after the title and in the listing of inventors:

Inventors: Jean-Dean Yang, TaoYuan (TW);
Shiao-Wen Tsai, KaoHsiung (TW);
Jui-Hsiang Chen, Hsinchu (TW);
Chiung-Lin Yang, Taipei (TW);
Yu-Lin Hsieh, KaoHsiung (TW);
-- Yu-Lun Huang, Tainan City (TW) --
-- Chun-Hui Tseng, TaoYuan City (TW) --

MAILING ADDRESS OF SENDER:

Patent No.: 6,852,255 B2

THOMAS, KAYDEN, HORSTEMEYER & RISLEY, L.L.P.
100 Galleria Parkway, Suite 1750
Atlanta, Georgia 30339

No. of additional copies

⇒ 0

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.13. The collection is estimated to take 1 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Office, U.S. Patent and Trademark Office, P.O. Box 1450, Arlington, Virginia 22313-1450 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and selection option 2.

Serial No 10/040,352

Patent No.:

Applicant: Yang et al.

Title: Method for Producing Water-Insoluble Polysaccharides

Docket No.: 250913-1350

EM No.:

Filed January 9, 2002

Issued

C/M Date: 08/27/04

Due Date:

**PATENT AND TRADEMARK OFFICE
STAMP HEREON ACKNOWLEDGES
RECEIPT OF THE BELOW IDENTIFIED
PAPERS:**

Cert. of Mailing: ☒ Regular US Mail
☐ Express Mail

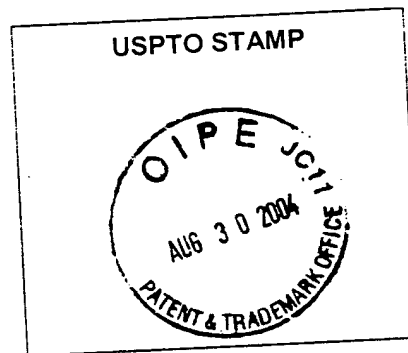
Fees ☒ \$130.00
Pymt ☐ Check
Form ☐ Dep. Acct:
☒ Credit Card Paym't Form

☐ Fee Transmittal Page

- ☐ RCE Application Transmittal Pg.
- ☐ Missing Parts Response
- ☐ Copy of Missing Parts Notice
- ☐ Resp. Incomplete Application Papers
- ☐ Copy - Not. Incomplete Appl. Papers
- ☐ Declaration & Power of Attorney
- ☐ Preliminary Amendment
- ☐ Small Entity Status Claimed
- ☐ IDS/Form 1449
- ☐ Cited Reference(s) ()
- ☐ Assignment/Assign't Cover Sheet
- ☐ Terminal Disclaimer
- ☐ Amendment Transmittal Page

- ☒ Petition for Correction of Inventorship
- ☒ Consent of Assignee to Change of..
- ☒ Statement of Inventorship that Error..
- ☒ Declaration for Patent Application

Person Mailing HCB



- ☐ Request to Rescind Non-Pub
- ☐ Petition to Make Special
- ☐ Status Inquiry (in duplicate)
- ☐ Notice of Appeal
- ☐ Appeal Brief (in Triplicate)
- ☐ Request for Refund
- ☐ Request for Correction F/R
- ☐ Notice of Continuation Applic
- ☐ Issue Fee Trx. ☐ In Dupl
- ☐ Sheets of Drawings
- ☐ Formal ☐ Informal
- ☐ Letter/Trx. To Draftsman
- ☐ Prior to NOA ☐ W/I NOA

BEST AVAILABLE COPY

Responsible Atty DRM

Serial No.: 10/040,352

Filed: January 9, 2002

Patent No.:

Issued:

Applicant: Yang et al.

Title: Method for Producing Water-Insoluble Polysaccharides

Docket No.: 250913-1350

C/M Date: 08/27/04

EM No.:

Due Date:

**PATENT AND TRADEMARK OFFICE
STAMP HEREON ACKNOWLEDGES
RECEIPT OF THE BELOW IDENTIFIED
PAPERS:**

Cert. of Mailing: ☒ Regular US Mail
☐ Express Mail

Fees ☒ \$130.00
Pymt ☐ Check
Form ☐ Dep. Acct:
☒ Credit Card Paym't Form

☐ Fee Transmittal Page

- ☐ RCE Application Transmittal Pg.
- ☐ Missing Parts Response
- ☐ Copy of Missing Parts Notice
- ☐ Resp. Incomplete Application Papers
- ☐ Copy - Not. Incomplete Appl. Papers
- ☐ Declaration & Power of Attorney
- ☐ Preliminary Amendment
- ☐ Small Entity Status Claimed
- ☐ IDS/Form 1449
- ☐ Cited Reference(s) ()
- ☐ Assignment/Assign't Cover Sheet
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- ☒ Petition for Correction of Inventorship
- ☒ Consent of Assignee to Change of..
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- ☐
- ☐
- ☐

USPTO STAMP

- ☐ Request to Rescind Non-Pub.
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- ☐ Notice of Continuation Applic.
- ☐ Issue Fee Trx. ☐ In Dupl.
- ☐ Sheets of Drawings
- ☐ Formal ☐ Informal
- ☐ Letter/Trx. To Draftsman
- ☐ Prior to NOA ☐ W/I NOA

Person Mailing: HCB

Responsible Atty: DRM

CERTIFICATE OF MAILING

I hereby certify that the below listed items are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:

**Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450**

on August 27, 2004

Hui Chin Barnhill
Hui Chin Barnhill

In Re Application of:

Chen et al.

Serial No.: 10/040,352

Filed: January 9, 2002

Confirmation No.: Unassigned

Group Art Unit: 1732

Examiner: Trentoni, Leo B.

Docket No.: 250913-1350

For: **Method for Producing Water-Insoluble Polysaccharides**

The following is a list of documents enclosed:

Return Postcard
Petition for Correction of Inventorship of Patent Application
Consent of Assignee to Change of Inventorship
Statement of Inventorship that Error in Inventorship Occurred...
Declaration for Patent Application
Credit Card Authorization Form (Amount: \$130.00)

Further, the Commissioner is authorized to charge Deposit Account No. 20-0778 for any additional fees required. The Commissioner is requested to credit any excess fee paid to Deposit Account No. 20-0778.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Chen et al.

Filing Date: January 9, 2002

Serial Number: 10/040,352

Title of Invention: Method for Producing Water-Insoluble Polysaccharides

Mail Stop Petitions
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

**PETITION FOR CORRECTION OF INVENTORSHIP OF PATENT APPLICATION
(37 C.F.R. § 1.48(a))**

NOTE: The petition should be directed to the Supervisory Patent Examiner whose unit handles the subject matter of the patent. See § 1481 of the M.P.E.P. (7th ed.).

1. This is a petition for correction of error in a

(check the appropriate item below)

☐ misjoinder ☒ nonjoinder

of inventor(s) in the above issued patent. It is respectfully requested that the PTO issue a certificate correcting the error.

2. Enclosed herewith is (37 C.F.R. § 1.324(b)):

A. ☒ a statement from each person who is being added as an inventor and/or
☐ a statement from each person who is being deleted as an inventor
that the inventorship error occurred without any deceptive intention on
his or her part.

B. A statement from the current named inventors who have not submitted a
statement under A. above:

☒ agreeing to the change of inventorship
or
☐ stating that there is no disagreement in regard to the requested change.

3. (if the patent is assigned, check the following)

NOTE: The assignee of each person who is being added or deleted and from the current inventor(s) who are not being added or deleted as inventor must file a consent (complying with the requirements of § 3.73(b)) to the change of inventorship. 37 C.F.R. § 1.324(b)(3) .

☒ Also enclosed is the written consent of the assignee.

☐ A statement under 37 C.F.R. § 3.73(b), establishing right of assignee to take action, is also enclosed.

4. The fee required (37 C.F.R. § 1.20(b) -\$ 130.00) is paid as follows:

☒ Attached is a

☐ check ☐ money order in the amount of \$

☒ Authorization is hereby made to charge the amount of \$130.00

☐ to Deposit Account No.

☒ to Credit card as shown on the attached credit card information authorization form PTO-2038.

WARNING:

Credit card information should not be included on this form as it may become public.

☒ Charge any additional fees required by this paper or credit any overpayment in the manner authorized above. A duplicate of this paper is attached.


SIGNATURE OF PRACTITIONER

Daniel R. McClure, Reg. No. 38,962

Tel. No.: (770) 933-9500

Customer No.: 24504

Thomas, Kayden, Horstemeyer & Risley, LLP
100 Galleria Parkway, N.W., Suite 1750
Atlanta, GA 30339-5948

Practitioner's Docket No. 250913-1350

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Jui-Hsiang Chen et al.

Filing Date: January 9, 2002

Serial Number: 10/040,352

Title of Invention: Method for Producing Water-Insoluble Polysaccharides

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

**CONSENT OF ASSIGNEE TO CHANGE OF INVENTORSHIP IN PATENT
(37 C.F.R. § 1.324(b)(3))**

NOTE: The assignee of each person who is being added or deleted and from the current inventor(s) who are not being added or deleted as inventor must file a consent to the change of inventorship. 37 C.F.R. § 1.324(b)(3).

Industrial Technology Research Institute,

owner by assignment of the above patent,

(check and complete the applicable item below)

- ☐ in the assignment being recorded concurrently herewith,
☒ in the assignment recorded in the PTO on Date of Recordal, JANUARY 9, 2002

Frame 012460
Reel 0966

hereby consents to the amendment of the inventorship of this patent as requested

- ☐ in the accompanying papers.
☐ in the papers mailed to the PTO on .

(complete the following, if applicable)

- ☒ Attached is a "STATEMENT UNDER 37 CFR 3.73(b)," establishing the right of the assignee to take action in this case.

Date: Aug. 5, 2004

Industrial Technology Research Institute

Benjamin Wang
SIGNATURE

Benjamin Wang
(type or print name of signatory)

Deputy General Director
Title of signatory if signing on behalf of an entity

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Chen, et al.

Group Art Unit: 1732

Serial No.: 10/040,352

Examiner: Tentoni, Leo B.

Filed: January 2, 2002

Docket No.: 250913-1350

For: Method for Producing Water-Insoluble Polysaccharides

Statement of Inventorship that Error in Inventorship Occurred Without Deceptive Intention

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sirs:

The above-referenced patent application was filed on January 9, 2002, in the names of the first five inventors listed below. Based on the claims the were originally filed in the application and/or are currently pending in the application, two additional inventors should be added to this patent application. These two additional inventors are identified as Inventors Six and Seven in the listing below.

By signing below, I hereby attest (whether as an originally-named inventor, or a newly-named inventor) and affirm that the error in inventorship (being corrected with this submission) occurred without any deceptive intention on his or her part.

1. Jui-Hsiang Chen
Signature- Jui-Hsiang Chen

Date 2004-08-03

2. Chiung-Lin Yang
Signature- Chiung-Lin Yang

Date 2004-08-03

3. Shiao-Wen Tsai
Signature- Shiao-Wen Tsai

Date 2004-08-09

4. Yu-Lun Huang
Signature- Yu-Lun Huang

Date 2004. 8. 10.

5. Chun-Hui Tseng
Signature- Chun-Hui Tseng

Date 2004-08-09

6. Jean-Dean Yang
Signature- Jean-Dean Yang

Date 2004-08-04

7. Yu-Lin Hsieh
Signature- Yu-Lin Hsieh

Date 2004-08-09

DECLARATION FOR PATENT APPLICATION

Attorney Docket No: **250913-1350**

As the below named inventor(s), I/we hereby declare that:

Our residences, post office addresses and citizenships are as stated below next to our names.

We believe we are the original, first, and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled **Method for Producing Water-Insoluble Polysaccharides**, the specification of which:

☐ is attached hereto.
☒ was filed on **January 9, 2002** as Application Serial No. **10/040.352**.
☐ was filed on _____ under U.S. Express Mail No. _____.
☐ is set forth in PCT International Application No. _____;
filed on _____ and as amended Under PCT Article 19 on _____ (if any).

I/we hereby state that I/we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I/we acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I/we hereby claim foreign priority benefits under Title 35, United States Code, 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America listed below and have also identified below any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed: **NOT APPLICABLE**.

I/we hereby appoint all attorneys and agents of Thomas, Kayden, Horstemeyer & Risley, LLP, who are listed under the USPTO Customer Number shown below as my/our attorneys and agents to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, recognizing that the specific attorneys and agents listed under that Customer Number may be changed from time to time at the sole discretion of Thomas, Kayden, Horstemeyer & Risley, LLP, and request that all correspondence be addressed to the address filed under the same USPTO Customer Number.

24504

Please address all telephone calls, in the first instance, to **Daniel R. McClure** at telephone number: **(770) 933-9500**.

Address all correspondence to:

Daniel R. McClure, Reg. No. 38,962
THOMAS, KAYDEN, HORSTEMEYER
& RISLEY, L.L.P.
100 Galleria Parkway, N.W., Suite 1750
Atlanta, Georgia 30339-5948

I/we hereby declare that all statements made herein of my/our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statement and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of First Inventor: Jui-Hsiang Chen

Residence: Same as the Post Office Address (Below) Citizenship: Taiwan, R.O.C.

Post Office Address: No.21, Min Yu 2 St., Hsinche, Taiwan, R.O.C.

Inventor's Signature: Jui Hsiang Chen Date: 2004-08-03

Full Name of Second Inventor: Chiung-Lin Yang

Residence: Same as the Post Office Address (Below) Citizenship: Taiwan, R.O.C.

Post Office Address: No.4, Aly.2, Ln.340, Sec.2, TungHua St., Pei Tou District, Taipei, Taiwan, R.O.C.

Inventor's Signature: Chiung-Lin Yang Date: 2004-8-3

Full Name of Third Inventor: Shiao-Wen Tsai

Residence: Same as the Post Office Address (Below) Citizenship: Taiwan, R.O.C.

Post Office Address: No.6, Jung-Hua Rd., Mituo Shiang, KaoHsiung, Taiwan, R.O.C.

Inventor's Signature: Shiao-Wen Tsai Date: 2004-8-9

Full Name of Fourth Inventor: Yu-Lun Huang

Residence: Same as the Post Office Address (Below) Citizenship: Taiwan, R.O.C.

Post Office Address: No.277, Dongfong Rd., North District, Tainan City 704, Taiwan, R.O.C.

Inventor's Signature: Yu-Lun Huang Date: 2004 8.10

Full Name of Fifth Inventor: Chun-Hui Tseng

Residence: Same as the Post Office Address (Below) Citizenship: Taiwan, R.O.C.

Post Office Address: No.90, Dongshih, Pingjhen City, Taovuan County 324, Taiwan, R.O.C.

Inventor's Signature: Chun-Hui Tseng Date: 2004-08-09

Full Name of Sixth Inventor: Jean-Dean Yang

Residence: Same as the Post Office Address (Below) Citizenship: Taiwan, R.O.C.

Post Office Address: No.24, HePing Tsuen, DaYuan Shiang, Taovuan, Taiwan, R.O.C.

Inventor's Signature: Jean-Dean, Yang Date: 2004-08-04

Full Name of Seventh Inventor: Yu-Lin Hsieh

Residence: Same as the Post Office Address (Below) Citizenship: Taiwan, R.O.C.

Post Office Address: No.107, Wen Shan Rd., KaoHsiung, Taiwan, R.O.C.

Inventor's Signature: Yu-Lin Hsieh Date: 2004.08.09